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I hereby certify that this Utility Patent Application Transmittal Letter and accompanying papers are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner For Patents, Box Patent Application, Washington, D.C. 20231.



Amanda L. Amato

## PATENT IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Michael J. Petruziello

**FOR** 

SUBSTRATE WITH MULTIPLE

**OPTICALLY ISOLATED GROOVES AND** 

METHOD FOR USING SAME

SERIAL NO.

Unknown

FILED

Herewith

**EXAMINER** 

Unknown

ART UNIT

Unknown

ATTORNEY DOCKET NO.

QQA 2 0003

Assistant Commissioner of Patents Washington, D.C. 20231

Dear Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97, 1.98 and MPEP § 609, applicant(s) submit(s) the following Disclosure Statement concerning art of which the applicant(s) is (are) aware. A copy of PTO-1449 is enclosed herewith.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein or submitted herewith is "prior art" for this invention unless specifically designated as such.

In accordance with 37 C.F.R. §1.97(g) and (h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Under § 1.98(a)(3), no concise explanation of relevance is required for information that is in the English language. Accordingly, the enclosed patent requires no further explanation.

## Consideration of the paragraph below is respectfully requested:

[X] WITHIN THREE MONTHS OF FILING: Under § 1.97(b)(1), this information disclosure statement is being filed within three months of the filing date of the application. Although it is believed no fee is necessary, any deficiency in fees should be charged to Deposit Account No. 06-0308.

It is respectfully requested that the attached document(s) be considered and officially cited in examination of this application.

Respectfully submitted,

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Page 1 of 1 Substitute for form 1449A/PTO Atty. Docket No.: QQA 2 0003 Application No.: Unknown INFORMATION DISCLOSURE First Named Inventor: Michael J. Petruziello STATEMENT BY APPLICANT(S) Filing Date: Herewith **Examiner:** U.S. PATENT DOCUMENTS Examiner Cite Document No. **Publication Date** Name of Patentee or Pages, Columns, Lines, Where Initials\* No. Applicant of Cited Document Relevant Passages or Relevant Figures Appear 4,514,057 04/30/1985 Palmer et al. AAAΒ 5,402,512 03/28/1995 Jennings et al. AC5,757,997 05/26/1998 Birrell et al. 07/04/2000 AD 6,085,001 Belt 6,148,129 11/14/2000 ΑE Pan et al. ΑF 6,151,433 11/21/2000 Dower et al. 12/26/2000 6,167,176 Belt AG ΑН ΑI ΑJ ΑK AL FOREIGN PATENT DOCUMENTS T Examiner Cite Foreign Patent Document **Publication Date** Name of Patentee or Pages, Columns, Lines, Initials\* No. Applicant of Cited Where Relevant Passages or Country Code & Country Number Document Relevant Figures Appear AM AN ΑO ΑP

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS					
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